

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Hiromichi Tanimukai and Teruhiko Ohzuchi
Serial No.: 10/675,335 Examiner: Not yet assigned
Filing Date: September 29, 2003 Group Art Unit: 2655
Title: OPTICAL DISC DRIVE AND METHOD OF UPDATING FIRMWARE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).
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the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

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Alexander C. Johnson, Jr.

**PATENT APPLICATION**

Atty. Docket No.: 5854-030 Serial No. 10/675,335
Applicant: Hiromichi Tanimukai and Teruhiko Ohzuchi
Filing Date: September 29, 2003 Group: 2655

**INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)****U.S. PATENT DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
_____	_____	2001/0044933	Nov. 22, 01	Tagiri et al.		
_____	_____	2001/0048659	Dec. 6, 01	Weirauch et al		
_____	_____	6,170,043	Jan. 2, 01	Hu		
_____	_____	6,253,281	Jun. 26, 01	Hall		

FOREIGN PATENT DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	EP 1235216	Aug. 28, 02	Europe	Chang, Cheng-Chun

OTHER DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	_____	English language abstract of Japanese Publication No. 11003219
_____	_____	English language abstract of Japanese Publication No. 11353127
_____	_____	English language abstract of Japanese Publication No. 2000207193
_____	_____	English language abstract of Japanese Publication No. 2000242598

Examiner: _____

Date Considered: _____